

2174

PTO/SB/21 (09-04)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/040,397	
	Filing Date	December 28, 2001	
	First Named Inventor	Ballard, Alan	
	Art Unit	2174	
	Examiner Name	Ryan F. Pitaro	
Total Number of Pages in This Submission	16	Attorney Docket Number	021756-019500US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt; Redline Corrected Copy of Updated Filing Receipt, Copy of Second Preliminary Amendment, Application Data Sheet, Return Postcard
		Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Jason D. Lohr		
Date	July 28, 2006	Reg. No.	48,163

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Dana Kane	Date	July 28, 2006



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Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On

TOWNSEND and TOWNSEND and CREW LLP

By:

PATENT
Docket No.: 021756-019500US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALAN BALLARD et al.

Application No.: 10/040,397

Filed: December 28, 2001

For: CUSTOMIZATION OF TAB-
ORDER FUNCTIONALITY IN
INTERNET APPLICATIONS

Examiner: Ryan F. Pitaro

Art Unit: 2174

REQUEST FOR CORRECTED FILING
RECEIPT

Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the Domestic Priority section of the Filing Receipt. The correct Domestic Priority should read as follows **(with corrections noted in bold text)**:

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001
AND CLAIMS BENEFIT OF 60/316,773 08/31/01

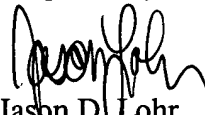
On July 19, 2006, a phone call was placed to Examiner Ryan F. Pitaro (571) 272-4071, regarding the second priority claim. A return phone call was received on July 24, 2006 from Examiner Pitaro in which he indicated that due to the fact that the priority claim was made of record at the time the application was filed, no further steps need to be taken except to file a Request for Corrected Filing Receipt, and include a copy of the Second Preliminary Amendment as filed on July 15, 2002.

Therefore, in support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 15, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0001], line 4 and the serial numbers in paragraph [0002], lines 1, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

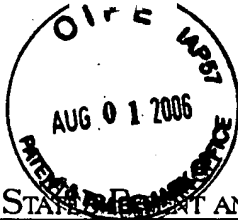
An Application Data Sheet is included with this Response.

Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Please note that the Issue Fee for this application is due on August 18, 2006. Therefore, Applicants respectfully request that the Filing Receipt be updated and returned prior to the Issue Fee Payment date.

Respectfully submitted,


Jason D. Lohr
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200/ Fax: (415) 576-0300
JDL/km



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,397	12/28/2001	2152	3696	PA1741US	25	93	21

CONFIRMATION NO. 2076

UPDATED FILING RECEIPT



OC00000008135765

22830
CARR & FERRELL LLP
2225 EAST BAYSHORE ROAD
SUITE 200
PALO ALTO, CA 94303

Date Mailed: 05/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alan Ballard, Vancouver, CANADA;
Peggy Shafer, Pleasanton, CA;
Theresa Sherwood, Walnut Creek, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001

AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Customization of tab-order functionality in internet applications

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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BEST AVAILABLE COPY

Patent/Serial No.: 10/040,397 Issue/Filing Date: December 28, 2001
Title: Customization of Tab-Order Functionality in Internet Applications
Inventor(s)/Applicant(s): Alan Ballard et al.
C & F Docket No.: PA1741US Atty/Sec. Initials: SMC/elf
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date:

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT & TRADEMARK
OFFICE ON THE DATE STAMPED HEREON:

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|--|---|
| <input checked="" type="checkbox"/> Transmittal letter/form PTO/SB/21 | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Provisional Application _____ pages + cover | <input type="checkbox"/> Express Mail No. _____ |
| <input type="checkbox"/> Utility Application: _____ pages of specification
(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Continuation/Divisional Application | <input checked="" type="checkbox"/> IDS form (PTO/SB/08) (2 copies) |
| <input type="checkbox"/> Design Application | <input type="checkbox"/> Petition for Extension of Time: _____ months |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs. | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Declaration & POA | <input type="checkbox"/> Issue Fee Transmittal (2 copies) |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies) | <input type="checkbox"/> PCT Application: _____ pgs of specification |
| <input type="checkbox"/> Check in the amount of \$ _____ | <input type="checkbox"/> (w/claims & abstract) |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet | <input type="checkbox"/> PCT Demand _____ pages |
| <input checked="" type="checkbox"/> Amendment/Response _____ pages. | <input type="checkbox"/> PCT Request _____ pages |
| <input type="checkbox"/> Other: _____ | |

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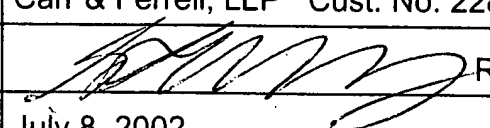
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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/040,397	
	Filing Date	December 28, 2001	
	First Named Inventor	Alan Ballard	
	Group Art Unit	2152	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	5	Attorney Docket Number	PA1741US

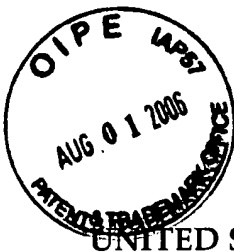
ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard
Remarks Total page number does not include the postcard.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Carr & Ferrell, LLP Cust. No. 22830
Signature	 Reg. No. 50,250
Date	July 8, 2002

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Signature	
Date	July 8, 2002

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE


APPLICANT: Alan Ballard, Peggy Shafer, and Theresa Sherwood.
SERIAL NO.: 10/040,397
FILING DATE: December 28, 2001
TITLE: Customization of Tab-order Functionality in Internet Applications
EXAMINER: Unknown
ART UNIT: 2152
ATTY.DKT.NO.: PA1741US

CERTIFICATE OF MAILING

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Date:

July 8, 2002


Steven M. Coffey

Commissioner for Patents
Washington, D.C. 20231

Sir:

2nd PRELIMINARY AMENDMENT

The captioned application is U.S. Serial No. 10/040,397, filed December 28, 2001.

Before action, please amend the captioned application as follows.

COPY

IN THE CROSS-REFERENCE TO RELATED APPLICATIONS

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

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REMARKS

The present application is U.S. Patent Application Serial No. 10/040,397. This 2nd Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Allan Ballard et al.

Date: July 8, 2000

By: 

Steven M. Colby, Ph.D.
Registered Patent Agent
Reg. No. 50,250
Carr & Ferrell LLP
2225 East Bayshore Road, Suite 200
Palo Alto, CA 94303
Phone: (650) 812-3424
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APPENDIX A

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/_____316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 60/_____10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 60/_____10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 60/_____10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/_____10/040,396, entitled "System and Method for Searching Data Partially Displayed on a User Interface."

COPY



Application Data Sheet

Application Information

Application number::	10040397
Filing Date::	12/28/01
Application Type::	Regular
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R??::	
Number of CD disks::	
Number of copies of CDs::	
Sequence Submission::	
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	Customization of Tab-Order Functionality in Internet Applications
Attorney Docket Number::	021756-019500US
Request for Early Publication::	No
Request for Non-Publication::	No
Suggested Drawing Figure::	
Total Drawing Sheets::	25
Small Entity?::	No
Latin name::	
Variety denomination name::	
Petition included?::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers One::	
Secrecy Order in Parent Appl.::	No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Canada
Status:: Full Capacity
Given Name:: Alan
Middle Name::
Family Name:: Ballard
Name Suffix::
City of Residence:: Vancouver, BC
State or Province of Residence::
Country of Residence:: Canada
Street of Mailing Address:: 3452 West 11th Avenue
City of Mailing Address:: Vancouver
State or Province of mailing address:: BC
Country of mailing address:: Canada
Postal or Zip Code of mailing address:: V6R 2J9

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Peggy
Middle Name::
Family Name:: Shafer
Name Suffix::
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State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 1250 Country Lane
City of Mailing Address:: Pleasanton
State or Province of mailing address:: CA

Country of mailing address:: US
Postal or Zip Code of mailing address:: 94588

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Theresa
Middle Name::
Family Name:: Sherwood
Name Suffix::
City of Residence:: Walnut Creek
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 1021 Springfield Drive
City of Mailing Address:: Walnut Creek
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94598

Correspondence Information

Correspondence Customer Number:: 51206

Representative Information

Representative Customer Number:: 51206

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An Appn claiming benefit under 35 USC 119(e) of	60/273,190	03/02/01
This Application	An Appn claiming	60/316,773	08/31/01

benefit under 35 USC
119(e) of

Foreign Priority Information

Country:: Application number:: Filing Date::

Assignee Information

Assignee Name:: Oracle International Corporation
Street of mailing address:: 500 Oracle Parkway, Mail Stop 5OP7
City of mailing address:: Redwood Shores
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94065